



## **PORT OF OAKLAND**

530 Water Street, Oakland, California 94607

October 24, 2016

### **Addendum No. 1 RFP No.: 16-17/06, Bond Underwriting Services**

This Addendum modifies the original RFP Documents for the above mentioned RFP.

**Acknowledge receipt of this addendum in the space provided on the RFP Acknowledgement and Signature Form (Attachment 3). Failure to do so may disqualify your proposal.**

**The following questions were submitted by the deadline and are answered in this addendum.**

1. **Question:** Can companies from Outside USA (e.g., from India or Canada) can apply for this?

*Response: Yes.*

2. **Question:** Do companies need to come over there for meetings?

*Response: Yes, the personnel listed in response to the RFP Submission Requirements # 3 should be available if requested by the Port.*

3. **Question:** Can we perform the tasks (related to RFP) from outside USA like, from India or Canada?

*Response: This is a decision of the underwriting firm.*

4. **Question:** Can we submit the proposals via email?

*Response: No.*

5. **Question:** I see that one of the requirements to serve as senior underwriter is having senior managed at least three greater than \$100 million financings. Does serving as co-senior manager count as meeting this requirement?

**Response:** *No*

6. **Question:** Attachment 5-A and 5-B relates to sub-contracting, however the RFP specifically requires us to submit individual proposals. Could you please reconcile that difference?

**Response:** *Attachments 5-A and 5-B are standard forms used by the Port's Social Responsibility Division to assess the utilization of small and/or local businesses in all of its contracting activity. It is not the Port's intention that Respondents to this RFP use the sections of the forms related to sub-contractors.*

*On Attachment 5-A, Respondents should only provide information for themselves and only fill-in the first row of the table reserved for "Prime" contractor. The remaining rows reserved for "Sub" should be left blank. On Attachment 5-B, Respondents should refer to themselves where the form uses the term "team".*

END OF ADDENDUM NO.1