

PORT OF OAKLAND TRUCK REPLACEMENT PROJECT APPLICATION



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Port of Oakland
530 Water Street
Oakland, CA 94607

February 2007

Port of Oakland Truck Replacement Project Application

GENERAL INFORMATION

This is an application for a truck owner to receive incentive funding from the Port of Oakland (Port) for the voluntary purchase of a replacement on-road heavy-duty motor vehicle. The Port Truck Replacement Project is funded as part of the Port of Oakland Vision 2000 (V2K) Air Quality Mitigation Program.

The goals of the Truck Replacement Project are to reduce diesel emissions from the heavy-duty trucks that haul shipping containers to or from the Port maritime area and to improve the quality of air in the Port maritime area and the adjacent West Oakland neighborhood. Under this project, the Port will provide a qualifying truck owner up to \$40,000 to replace the owner's 1993 or older truck, which serves the Port's maritime area, with a 1999 or newer truck.

Please see Port of Oakland Truck Replacement Project Guidelines, which are incorporated in this Application by reference, for a complete description of:

- (1) the application process for an interested truck owner who wishes to participate in the Truck Replacement Project;
- (2) the methodology used to calculate the cost-effectiveness and reimbursement amount for a replacement truck;
- (3) the eligibility requirements and cost effectiveness criteria for a successful applicant to be entitled to a reimbursement from the Port for a replacement truck; and
- (4) the obligations of an applicant who receives funding from the Port for a replacement truck.

Please fill out this application completely and provide the required documentation when you submit it. Completed applications must be accompanied by a National Automobile Dealers Association (N.A.D.A.) printout for the replacement vehicle. The N.A.D.A. must be from a participating dealership and identify all costs for the replacement truck and accessories. Additional information may be requested during the review process. The applicant will be informed as to whether or not the application meets the minimum qualifications. If the application does not meet the minimum qualifications, the Port will provide the applicant with a list of deficiencies.

Each qualified applicant will be required to sign a contract with the Port. An applicant must obtain the Port's approval of applicant's application and have a signed, executed contract from the Port prior to reimbursement. **Note: Any replacement truck purchased prior to applicant and the Port signing a contract, or prior to the Port's written approval of applicant's purchase of the replacement truck, is ineligible for funding.**

The Port will provide funding to the applicant only after the Port receives the necessary documents described in this Truck Replacement Project application and only if the Port approves the application and enters into a contract with applicant, and applicant satisfies the conditions in the contract necessary to obtain the funding. Truck fleet operators with multiple vehicles must submit a separate application for each truck.

If you have any questions regarding the application process, please contact:

Port of Oakland Truck Program: (510) 627-1380

Submit applications to the following location ONLY (no faxed applications will be accepted):

**Port of Oakland
Attn: Tim Leong, Truck Program Manager
530 Water Street
Oakland, CA 94607**

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CHECKLIST FOR ELIGIBILITY CRITERIA

Before completing the application, fill out this checklist to ensure that you, your existing truck, and the replacement vehicle are eligible to participate in the Truck Replacement Project. Additional cost effectiveness criteria will be calculated by Port staff to determine whether you are entitled to funding and, if you are entitled, the amount of the funding.

Each applicant must:

- Own the truck for which the replacement is requested;
- Maintain current registration and insurance for the truck; and
- Complete and submit the application and required paperwork.

The existing truck must:

- Be model year 1993 or older;
- Have been operated in the Port Maritime Area continuously for the two (2) year-period immediately before the applicant signed the application;
- Have been used in the Port Maritime Area for at least 400 commercial trips in the twelve (12) month period immediately before the applicant signed the application;
- Be in good working order;
- Have a minimum gross vehicle weight rating (GVWR) greater than 33,000 pounds;
- Not have any outstanding civil penalties levied for excessive smoke.

All replacement trucks must:

- Have a model year 1999 or newer engine;
- Be certified or verified for sale in California, and must comply with durability and warranty requirements. The warranty must cover parts and labor for a minimum of 12 months or 100,000 miles for major engine components.

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VEHICLE AND ENGINE INFORMATION

See Notes on Page 5 for important additional information

1.	Primary function of vehicle ¹ (e.g. shuttling cargo; long haul trucking; local delivery): <input type="checkbox"/> Vehicle is in shuttle service (operates exclusively in the Port maritime area) <input type="checkbox"/> Vehicle is in short haul service (operates between the Port maritime area and locations within the 9 county San Francisco Bay Area Air Quality Management District; see map in <u>Guidelines</u>) <input type="checkbox"/> Vehicle is in medium haul service (operates between the Port maritime area and locations outside of the 9 county San Francisco Bay Area Air Quality Management District) <input type="checkbox"/> Vehicle is in other heavy-duty service (Describe: _____)
2.	Number of shipping containers hauled to or from the Port maritime area by this truck in the twelve months immediately before this application's signature date: _____
3.	Estimated annual mileage ² : Last Year - _____ miles; Year before - _____ miles
4.	Percent of miles traveled within BAAQMD boundaries: _____%
5.	Estimated annual fuel usage ² : _____ gallons

EXISTING TRUCK INFORMATION		REPLACEMENT TRUCK INFORMATION	
6.	Vehicle Make:	18.	Vehicle Make:
7.	Vehicle Model:	19.	Vehicle Model:
8.	Vehicle Model Year:	20.	Vehicle Model Year:
9.	Vehicle Identification Number (VIN):	21.	Vehicle Identification Number (VIN):
10.	License Plate Number:	22.	Gross Vehicle Weight Rating (GVWR):
11.	Gross Vehicle Weight Rating (GVWR):	23.	Engine Make ³ :
12.	Engine Make ³ :	24.	Engine Model:
13.	Engine Model:	25.	Engine Model Year:
14.	Engine Model Year:	26.	Engine Serial Number:
15.	Engine Serial Number:	27.	Manufacturer's Maximum Rated Brake Horsepower Rating (not continuous): Not more than 20% increase from existing engine⁴:
16.	Manufacturer's Maximum Rated Brake Horsepower Rating (not continuous):	28.	Fuel Type:
17.	Fuel Type:	29.	Amount of Reimbursement Funding Requested ⁵ :

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NOTES

1. Applicant must provide verification that the truck in this application hauled cargo within the Port Maritime Area for the two year-period immediately before the date applicant signed this application, and made at least 400 trips to or from the Maritime Area in the 12-month period immediately before the date applicant signed this application. Proof of such operation (trip manifests, equipment interchange receipts (EIRs), pay stubs, weigh tickets, etc.) must be submitted with completed application.

In lieu of available documented truck trips, an applicant may submit a “letter of experience” from his/her contracted dispatcher verifying the applicant’s vocation and Port Maritime Area truck trips. The Port may request additional information verifying vocation prior to approval of the application.

2. Applicant must provide verification of annual fuel usage and miles driven for each of the two-year periods immediately before the date applicant signed this application. Types of verification that may be requested include: taxes with fuel line item in Schedule C, mileage logs, fuel logs, hub meters, fueling receipts, service logs, etc. Verification must be submitted with completed application.

3. Fleet owners with multiple trucks applying for this program must apply separately for each truck replacement.

4. The increase in manufacturer’s maximum rated brake horsepower rating from the old engine to the new engine **must not** be over twenty percent (20%).

5. The total incentive funding provided by the Port for a truck replacement in no event will exceed \$40,000. Subject to this maximum amount, the following three factors will be applied to determine the total amount of incentive funding: 1) The first factor is the price of the replacement truck. If the price is less than \$40,000 the incentive funding will also be less than \$40,000. If the price is \$40,000 or more, incentive funding will not exceed \$40,000. In all cases, the amount of incentive funding may also be affected by application of the remaining two factors; 2) The second factor is the cost-effectiveness of amount of emissions removed from the truck replacement. If the cost-effectiveness to remove one ton of NOx, one ton of ROG, and one twentieth of a ton of PM is greater than \$14,300, that circumstance will reduce the amount of incentive funding, in accordance to the Guidelines; and 3) Third, in no event may the incentive funding provided be greater than the National Automotive Dealership Association (NADA) commercial vehicle guide adjusted loan value if the replacement truck is a used truck, or 72% of the invoiced purchase price if the replacement truck is new.

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SIGNATURE PAGE

Applicant acknowledges that Port funding will be conditional upon the Port's approval of this application, both the execution by each of the applicant and the Port of a Participant Master Agreement contract between the two of them and the Port's approval of the purchase of the replacement truck before applicant's purchase of the replacement truck, and applicant's compliance with the provisions of the Participant Master Agreement. The Port will only approve purchase of a replacement truck by a dealer who has entered into a Master Dealership Agreement with the Port. All applicants and vehicles must meet the minimum eligibility criteria and satisfy the Port's primary goal of cost-effective reduction of air emissions.

I hereby declare under penalty of perjury that all information provided in this application and any attachments are true and correct to the best of my knowledge, that I have read the separate Port of Oakland Truck Replacement Project Guidelines and that, if Applicant is not an individual but is an organization, the undersigned is fully authorized on behalf of the organization to sign below.

Printed Name of Applicant:

Signature of Applicant (must sign in black or blue ink only):

By: _____

Date: _____

(print name and title)

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CHECKLIST FOR APPLICATION ATTACHMENTS

Have you attached? (please check boxes.)

- Completed Port of Oakland Truck Replacement Project Application, including:
 - Checklist for Eligibility Criteria
 - Applicant and Dealer Information
 - Vehicle and Engine Information
 - Signature Page
- Completed Vehicle Inspection Report or CHP BIT Inspection Form issued within the past 90 days
- Verification that the vehicle in this application hauled cargo within the Port Maritime Area for the past two years
- Completed Vocation and Trip Number Certification Form
- Completed "letter of experience" from the contracting dispatcher or carrier company
- Verification of annual fuel usage and miles driven for the past two years
- Evidence of *both* (1) applicable current insurance coverage and (2) coverage for the past 24 months
- Evidence of *both* (1) applicable current vehicle registration and (2) registration for the past 24 months
- Copy of the title of the existing vehicle
- Copy of valid Class A driver's license of applicant
- Photographs of the existing vehicle, clearly showing: the license plate, front, rear, driver-side, passenger-side, VIN, vehicle dashboard and mileage, engine compartment, engine plate and serial number
- Photographs of the replacement vehicle, clearly showing: the front, rear, driver-side, passenger-side, VIN, vehicle dashboard and mileage, engine compartment, engine plate and serial number
- N.A.D.A. printout for replacement vehicle from participating dealership

Submit applications to the following location **ONLY** (no faxed applications will be accepted):

**Port of Oakland
Attn: Tim Leong, Truck Program Manager
530 Water Street
Oakland, CA 94607
Telephone: (510) 627-1380**

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Vocation and Trip Number Certification Form

To be eligible for Port of Oakland funding under the Port of Oakland Truck Program, applicant's existing truck must have made at least 400 Port trips in the 12 month period immediately before applicant signed its application. A "Port Trip" is a commercial entry into a marine terminal or railyard in the Port of Oakland Maritime Area.

In addition to other program requirements, applicant must be able to document applicant's existing truck meets this definition. Acceptable methods to document trips may include Driver Pay Approval Reports, Equipment interchange Receipts (EIRs), or other means approved by the Port of Oakland. The Port of Oakland reserves the right to audit these records at any time, including without limitation when a fraudulent claim is suspected.

From the choices below, check the number of documented trips that the applicant made to or from the Port of Oakland Maritime Area in the 12 months immediately preceding this application signature date.

- | | |
|--|---|
| <input type="checkbox"/> 400-449 Port Trips | <input type="checkbox"/> 1101-1300 Port Trips |
| <input type="checkbox"/> 450-700 Port Trips | <input type="checkbox"/> 1301-1500 Port Trips |
| <input type="checkbox"/> 701-900 Port Trips | <input type="checkbox"/> > 1500 Port Trips |
| <input type="checkbox"/> 901-1100 Port Trips | |

The type of documentation for this claim that will be provided upon request (check all that apply):

- Driver/Truck Owner Pay Approval Reports
- Equipment Interchange Receipts
- Other: _____

Please provide the contact name for a commercial carrier or other authority who can help document your trips over the past 12 months:

Carrier/Company: _____

Contact's Name and Phone #: _____

Applicant's Statement: I certify under penalty of perjury that the information on this form is true and the stated documentation exists and that, if Applicant is not the individual but is an organization, the undersigned is fully authorized on behalf of the organization to sign below. I further certify that I understand that this form will be incorporated by reference into, and will become part of, any Master Participant Agreement between the Port of Oakland and Applicant.

Applicant Name (print) _____

Applicant Signature _____

Date ____ / ____ / ____

(print name and title)

License Plate # of Existing Truck _____

Applicant must submit for the existing truck a completed Appendix I Vehicle Inspection *Report* or

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provide a California Highway Patrol Biennial Inspection of Terminals (CHP BIT) Form issued within the ninety days immediately before the date applicant signed this application.

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Vehicle Inspection Report

(This must be completed by the engine dealer of your choice.)

Applicant's existing truck must be inspected by a licensed mechanic. This inspection is to ensure that the existing vehicle is roadworthy. The items listed on the second page of this form must be inspected and their condition noted. This form must be completed by the dealer and submitted by the applicant to the Port of Oakland along with all other application documents. No application will be considered if it is not accompanied by a complete Vehicle Inspection Form or a CHP BIT inspection form for applicant's existing truck that was issued within the 90 days immediately prior to the date of the applicant's signature on this application.

Vehicle Make	Model	Year	Vehicle's Registered Owner	
License Plate Number			Vehicle Identification Number	
Mileage/Hub Miles			Street Address	P.O. Box
Motor Carrier (if other than owner)			City	State ZIP

Company: _____

Address: _____

City/State/Zip: _____

Authorized Name: _____

Phone: _____

Authorized Signature: _____

Date: _____

Dealer/Distributor Declaration: I declare under penalty of perjury that the information provided here is accurate and the above identified vehicle is roadworthy and in running condition. I also acknowledge and understand that this Vehicle Inspection Report will be incorporated by reference into, and will become part of, any Master Dealership Agreement between the Port of Oakland and the above identified dealer/distributor.

Date of Inspection

Inspector's Printed Name and Signature

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Vehicle Inspection Report

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✓	BRAKES AND TIRES	Comments and Cost to Repair
	Brake lining, drums & adjustment	
	Air hoses & lines condition	
	Air loss test (applied & unapplied)	
	Rim condition, i.e. Cracks, lugs loose	
	Inflation per mfg recommendations	
	Parking brake	
	SUSPENSION & CHASSIS	
	Check steering free lash, mounts	
	Steering arms, drag links, tie rod ends	
	Fifth wheel condition, mounts, adj.	
	Drive shafts, u-joints, compensators	
	Wheel seal leaks, bearing hub levels	
	Spring, shackles, u-bolts, torque arms	
	SAFETY EQUIPMENT	
	Windshield wipers, window cracks	
	Lights, reflectors, mud flaps	
	Warning devices: air, oil, temperature	
	ENGINE	
	Check cab jack system	
	Radiator & water hoses – condition	
	Belts, Compressor Fan, Water Pump	
	Air Lines, Leaks – Condition	
	Exhaust system, leaks	
	Engine mounts, oil & fuel leaks	
	Clutch adjustment & free play	
	Oil level, filter condition	
	Service battery box cover	